12-05-01

PTO/SB/05 (03-01)

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Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION **TRANSMITTAL**

Attorney Docket No.		ALLE-P3.1-US		
First Inventor		Kenneth A. Alley		
Title	Apparatus Testing a	for Sampling and Specimen		

(Only for new nonprovisional applications under 37 CFR 1.53(b)) | Express Mail Label No. | EE724093655US

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. ADDRESS TO: Assistant Commissioner for Patents Box Patent Application					
See MPEP chapter 600 concerning utility patent application contents. Total Pages 46 1					
2. X Applicant claims small entity status. See 37 CFR 1.27. 3. X Specification					
2. X Applicant claims small entity status. See 37 CFR 1.27. 3. X Specification					
See 37 CFR 1.27. 3. X Specification [Total Pages 46] [Indepted arrangement set forth below] - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Claim(s) - Abstract of the Disclosure 4. X Drawing(s) (35 U.S.C. 113) [Total Sheets 7] - Abstract of the Disclosure 4. X Drawing(s) (35 U.S.C. 113) [Total Sheets 7] - A Newly executed (original or copy) - Copy from a prior application (37 CFR 1.63 (d)) - DELETION OF INVENTOR(S) - Signed statement attached deleting inventor(s) - named in the prior application, see 37 CFR - 1.63(d)(2) and 1.33(b). 6. Application Data Sheet under 37 CFR 1.76: A Computer Readable Form (CRF) b. Specification Sequence Listing on: CD-ROM or CD-R (2 copies); or ii.					
3. X Specification Total Pages 46]					
- Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. X Drawing(s) (35 U.S.C. 113) [Total Sheets 7] 5. Oath or Declaration [Total Pages 3] 5. Oath or Declaration [Total Pages 3] 6. Application Data Sheet. See 37 CFR 1.76 - Application Data Sheet See 37 CFR 1.76 - Cross Reference to Related sponsored R & D - R (S Copies); or i. CD-ROM or CD-R (2 copies); or ii. Daper ii. Daper c. Statements verifying identity of above copies ACCOMPANYING APPLICATION PARTS 9. Assignment Papers (cover sheet & document(s)) 10. 37 CFR 3.73(b) Statement (image: Accompanying Application Disclosure Statement (insignment) 11. English Translation Document (if applicable) 12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations 13. Preliminary Amendment 14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. X Other: Check 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:					
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- Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. X Drawing(s) (35 U.S.C. 113) [Total Sheets 7] 5. Oath or Declaration [Total Pages 3] - X Newly executed (original or copy) - Detailed (original or copy) - Copy from a prior application (37 CFR 1.63 (d)) - DELETION OF INVENTOR(S) - Signed statement attached deleting inventor(s) - named in the prior application, see 37 CFR - 1.63(d)(2) and 1.33(b). 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:					
- Detailed Description - Claim(s) - Abstract of the Disclosure 4. X Drawing(s) (35 U.S.C. 113) [Total Sheets 7] 5. Oath or Declaration [Total Pages 3] - X Newly executed (original or copy) - Copy from a prior application (37 CFR 1.63 (d)) - (for continuation/Idivisional with Box 18 completed) - i. DELETION OF INVENTOR(S) - named in the prior application, see 37 CFR - 1.63(d)(2) and 1.33(b). 6. Application Data Sheet. See 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:					
- Claim(s) - Abstract of the Disclosure 4. X Drawing(s) (35 U.S.C. 113) [Total Sheets 7] 5. Oath or Declaration [Total Pages 3] 6. DELETION OF INVENTOR(S) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 10.					
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or in an Application Data Sheet under 37 CFR 1.76:					
Continuation Unvisional Continuation-in-part (CIP) of prior application No.:/					
The state of the s					
Prior application information: Examiner Group Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under					
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.					
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.					
19. CORRESPONDENCE ADDRESS					
XX Customer Number or Bar Code Label 021616 (Insert Customer No. or Alash binocollistic library) or XX Correspondence address below					
Name MARK A. GARZIA					
P.O. Box 288					
Address					
City Media State PA Zip Code 19063-0288					
Country US Telephone 610-566-5050 Fax 566-1030					
Name (Print/Type) MARK A. GARZIA Registration No. (Attorney/Agent) 35,517					
Signature 74 / Q 7 Date 10/24/2001					

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

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Complete if Known			
Application Number	(Not Yet Known)		
Filing Date	10/24/2001 (Anticipated)		
First Named Inventor	ALLEY, Kenneth		
Examiner Name			
Group Art Unit			
Attorney Docket No.	ALLE-P3.1-US		

METHOD OF PAYMENT	METHOD OF PAYMENT FEE CALCULATION (continued)			
The Commissioner is hereby authorized to charge	3. ADDITIONAL FEES			
indicated fees and credit any overpayments to: Deposit	Large Small			
Account Number	Entity Entity Fee Fee Fee Fee Fee Description	Fee Paid		
Deposit	Code (\$) Code (\$)	100100		
Account Name	105 130 205 65 Surcharge - late filing fee or oath			
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet			
Applicant claims small entity status	139 130 139 130 Non-English specification			
XX See 37 CFR 1.27	147 2,520 147 2,520 For filing a request for ex parte reexamination			
2. X Payment Enclosed: X Check Credit card Money Other	112 920* 112 920* Requesting publication of SIR prior to Examiner action			
FEE CALCULATION	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action			
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month			
Large Entity Small Entity	116 400 216 200 Extension for reply within second month			
Fee Fee Fee Fee Description	117 920 217 460 Extension for reply within third month			
404 740 004 070 11616 616-646	118 1,440 218 720 Extension for reply within fourth month			
106 330 206 165 Design filing fee	128 1,960 228 980 Extension for reply within fifth month			
107 510 207 255 Plant filing fee	119 320 219 160 Notice of Appeal			
108 740 208 370 Reissue filing fee	120 320 220 160 Filing a brief in support of an appeal			
114 160 214 80 Provisional filing fee	121 280 221 140 Request for oral hearing			
	138 1,510 138 1,510 Petition to institute a public use proceeding			
SUBTOTAL (1) (\$) 370	140 110 240 55 Petition to revive - unavoidable			
2. EXTRA CLAIM FEES Fee from	141 1,280 241 640 Petition to revive - unintentional			
Extra Claims below Fee Paid	142 1,280 242 640 Utility issue fee (or reissue)			
Total Claims 31 -20** = 11 × 9 = 99	143 460 243 230 Design issue fee			
Independent 3 - 3** = 0 x 42 = 0	144 620 244 310 Plant issue fee			
Multiple Dependent	122 130 122 130 Petitions to the Commissioner			
•	123 50 123 50 Processing fee under 37 CFR 1.17(q)			
Large Entity Small Entity Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt			
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)			
102 84 202 42 Independent claims in excess of 3	146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))			
104 280 204 140 Multiple dependent claim, if not paid 109 84 209 42 ** Reissue independent claims	149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))			
over original patent	179 740 279 370 Request for Continued Examination (RCE)	_		
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	169 900 169 900 Request for expedited examination of a design application			
SUBTOTAL (2) (\$) 99	Other fee (specify)			
00D101N2(2)	'Reduced by Basic Filing Fee Paid SUBTOTAL (3)	0		
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3)			

SUBMITTED BY				Complete (i	Complete (if applicable)	
Name (Print/Type)	MARK A. GARZIA	Registration No. (Attorney/Agent)	35 . 1 7	Telephone	610-566-5050	
Signature	Meglow			Date	0/24/2001	

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MARK A. GARZIA

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ATTORNEY AT LAW

Admitted in PA and NJ

Registered Patent Attorney

Telephone: 610.566.5050 Facsimile: 610.566.1030 E-mail: patent@starmail.com

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS

: Kenneth Alley

TITLE

APPARATUS FOR SAMPLING AND TESTING

A SPECIMEN

APPLN. NO.

(Not Yet Assigned)

FILING DATE

October 24, 2001 (Anticipated)

TO: Box PATENT APPLICATION

Assistant Commissioner for Patents Washington, DC 20231

CERTIFICATE OF EXPRESS MAILING (37 C.F.R. §1.10)

Express Mail Label No. EE724093655US

I hereby certify that this new Utility Patent Application, including the following papers or fees:

- 1) Utility Patent Application Transmittal (1 pg.);
- 2) Fee Transmittal for FY 2002 (1 pg.);
- 3) Specification (46 pgs. Total) (Description 35 pgs., Claims 10 pgs., Abstract 1 pg.);
- 4) Drawings (7 pgs.);
- 5) Declaration and Power of Attorney (3 pgs.);
- 6) Check for \$469 to cover the filing fee; and
- 7) A self-addressed postcard, postage prepaid, to acknowledge receipt of this Application.

is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. §1.10 on October 24, 2001 and is addressed to: Box PATENT APPLICATION, Assistant Commissioner for Patents, Washington, DC 20231.

Date: 24 0 cToBER 2001

Mark A. Garzia